

01-25-02

PTO/SB/05 (03-01)  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MW001.02  
First Inventor James G. Prather  
Title MULTI-LEVEL PERSONAL HAND CART  
Express Mail Label No. ET098359339US

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 1]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]

5. Oath or Declaration [Total Pages 1]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))  
37 CFR 3.73(b) Statement of Attorney  
(when there is an assignee) Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449  
Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

16. ☐ Other:

17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
Prior application information Examiner Group Art Unit  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label ☒ Correspondence address below

Name TERRELL P. LEWIS  
Address 343 PALOS VERDES BLVD.  
UNIT #8  
City REDONDO BEACH State CA Zip Code 90277  
Country USA Telephone (310) 465 0500 Fax (310) 465 0900

Name (Print/Type) TERRELL P. LEWIS Registration No. (Attorney/Agent) 29,227  
Signature Terrell P. Lewis Date 01/22/02

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application Washington, DC 20231

**CERTIFICATE OF MAILING**  
**Under 37 C.F.R. 1.10**

Attorney Docket No. MW001.02 Attorney Terrell P. Lewis  
Registration No. 29,227

Inventor: James G. Prather et al.

For: MULTI-LEVEL PERSONAL HAND CART

Serial No.: Not Yet Assigned EXAMINER:

Filing Date: Application filed herewith ART UNIT:

**Express Mail mailing label number: ET098359339US**

**Date of Deposit: JANUARY 22, 2002**

I hereby certify that this NEW UTILITY PATENT APPLICATION, Postage Prepaid Return Receipt Postcard, and APPLICATION TRANSMITTAL (original and copy) is being deposited with the United States Postal Service under 37 C.F.R. 1.10 in an Express Mail envelope bearing an "Express Mail Post Office to Addressee" label having the number ET098359339US on the date indicated above, the label being addressed to: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231



Terrell P. Lewis  
Registration No. 29,227

343 Palos Verdes Boulevard, Unit #8  
Redondo Beach, CA 90277  
Telephone: (310) 465-0500  
Facsimile: (310) 465-0900